

## CIRCULARS

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### RBI

- Legal Entity Identifier: Extension of deadline
- Ombudsman Scheme for Non-Banking Financial Companies, 2018
- Investment by Foreign Portfolio Investors (FPI) in Debt - Review
- Disclosure on Exposure to Infrastructure Leasing & Financial Services Limited (ILFS) and its group entities

### NSE

- Guidelines on Interoperability among Clearing Corporations
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- Sub: Applicable Exposure Margin
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- Suspension of Member
- NMASS functionalities in Currency Derivatives segment
- SEBI Order in the matter of Seagull Leafin Limited
- Adjustment of Market Lot for security MCL in SME Platform
- Mock trading (Contingency) on Saturday, May 04, 2019 - New version of NEAT CDS 3.2.6

- Trading Access Point – New Versions
- NEAT Adapter – New Versions
- Mock trading (Contingency) on Saturday, May 04, 2019 – New version NEAT 1.2.3
- Mock trading (Contingency) on Saturday, May 04, 2019 – No New version release
- Applicability of Additional Surveillance Measure (ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Madhav Copper Limited (MCL) – Face Value Split
- Revised TM, FII & MF limits for securities with revised market lot
- Change in Clearing Member affiliation [Capital Market Segment]
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI order in the matter of Jindal Cortex Ltd.
- SEBI order in the matter of Prayas Projects India Limited
- Exposure margin of security under MWPL

## BSE

- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”
- Enhanced supervision: Monitoring of Clients’ Funds lying with the Stock Broker for the week ended April 26, 2019
- Downtime for CRS Portal.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Live trading Session from Disaster Recovery (DR) site on Wednesday, May 01, 2019, Thursday, May 02, 2019 and Friday, May 03, 2019 – Commodity Derivatives segment
- Live trading Session from Disaster Recovery (DR) site on Thursday, May 02, 2019 and Friday, May 03, 2019 – Currency Derivatives segment

## MCX

- Revised List of Approved Securities, Approved Commodities and Approved Banks
- Payout of commodities through ComRIS and Repository Account
- Payment of Stamp Duty in Uttar Pradesh

## SEBI

- Net worth Requirements for Clearing Corporations in International Financial Services Centre (IFSC)
- Adjudication Order in respect of Prashant Jayantilal. Patel
- Adjudication Order in respect of Sanjay Thakkar in the matter of Gujarat Arth Ltd.
- Adjudication Order in respect of Magnetic Properties Private Limited the matter of dealing in Illiquid Stock Options at the BSE
- Adjudication Order in respect of Smt. Rameshwari Devi Bhutra in the matter of Malabar Trading Company Limited
- Adjudication Order in respect of Shri Amitkumar Radheshyam Gupta in the matter of Malabar Trading Company Limited
- Order in the matter of Seagull Leafin Limited
- Adjudication Order dated April 26, 2019 in respect of Vikram Sharma in the matter of Trading Activities of Certain Entities in the Scrip of Pankaj Piyush Trade and Investments Limited
- Adjudication Order dated April 26, 2019 in respect of Meuse Kara & Sungrace Mafatlal Limited (now known as MYNK1906 Industries India Limited) in the matter of Non-Redressal of Investor Complaints
- Adjudication Order dated April 26, 2019 in respect of Amit Sethi in the matter of Trading Activities of Certain Entities in the Scrip of Pankaj Piyush Trade and Investments Limited
- Adjudication Order in respect of M/s. Indian Bank

- [Adjudication Order in respect to Frontline Financial Services Ltd. in the matter of non-obtaining of SCORES authentication and non-redressal of investor grievance](#)
- [Adjudication Order in respect of Bezel Stock Brokers Pvt. Ltd. in the matter of of Bezel Stock Brokers Pvt. Ltd.](#)
- [Order passed by SEBI in the matter of GDR issue of Jindal Cortex Ltd.](#)
- [Order in respect of Prayas Projects India Limited](#)

## NSDL

- [2019-0044-Tender\\_Offer\\_PBM Polytex Limited – Tender offer for Buyback](#)

## NCDEX

- [Settlement Calendar and timelines for submission of delivery intentions for all the contracts expiring in May 2019](#)
- [CTCL Facility- Availability of new version of NOW trading software from NSE Data and Analytics Limited](#)

## ICEX

- [File Structure – Concentration Margin](#)
- [Consolidated List of individuals and entities subject to sanction measures under United Nations Security Council \(UNSC\).](#)

## INX

- [Exclusion of Future and Options Contracts on NHPC Ltd.](#)

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